



DOW JONES
RISK &
COMPLIANCE



Navigating the Increasingly Complex Sanctions Environment

Training conducted by
Sanctions Expert Eric Sohn, CAMS

We are pleased to invite you for a one-day training session on August 3, 2019. This is a collaborative initiative taken by AACOBB and Dow Jones Risk & Compliance under the blessing of BFIU to strengthen the learning of Economic Sanction and basics of Anti-Bribery & Corruption. We would like to record our thanks to IFIC Bank Limited for hosting this program and SM Gigatech JV for coordinating the event.

CHIEF GUEST

Abu Hena Mohd. Razee Hassan - Head of Bangladesh Financial Intelligence Unit

Mr. Hassan was Deputy Governor of Bangladesh Bank and Head of BFIU from 2012 to 2018. As the Head of BFIU, Mr. Hassan has played a lead role in developing a sound and effective anti-money laundering and combating financing of terrorism (AML/CFT) regime in Bangladesh. He is the Member Secretary of the National Coordination Committee on AML/CFT, and a member of the Working Committee on AML/CFT and the Anti-Militancy and De-Radicalization Committee. He is also the convener of the Central Task Force for Prevention of Money Laundering and Terrorist Financing. Mr. Hassan played a significant role in exiting Bangladesh from the FATF ICRG process and obtaining Egmont Group membership. He has coordinated the national effort for the successful completion of the APG's third round evaluation of Bangladesh in 2016. He has led the Bangladesh delegation on several occasions in APG, FATF, Egmont and other international events on AML/CFT. Currently Mr. Hassan is honored with the responsibility of co-chair of APG on behalf of Bangladesh Government.

Mr. Hassan joined the Bangladesh Bank in 1981. He is a member of the Board of Directors of Bangladesh Bank, a member of the Institute of Bankers Bangladesh Governing Body and the Chair of Executive Committee of Bangladesh Institute of Bank Management. Mr. Hassan graduated with honors and obtained a post-graduate degree in economics from Dhaka University. He has also obtained a Masters in Economic Development from Vanderbilt University, Tennessee, USA.

SPEAKERS

Pallavi Malviya - Head of South Asia at Dow Jones

She has an over 8 years of experience for implementing various projects for corporates and financial institution to comply with AML/KYC, Economic Sanction, UK Bribery Act, FCPA, ABC laws. Pallavi will share with us an overview of Anti Bribery Corruption and Third Party Risk landscape.

"Vision of AACOBB: "To be a world class AML/CFT association for the banking sector of Bangladesh"

"Mission of AACOBB: "To promote best practices in AML/CFT compliance for the benefit of the banking sector of Bangladesh"



Eric Sohn - Sanctions Expert and Director of Business Product for Dow Jones Risk & Compliance

His training course will cover the foundational elements of understanding sanctions risks and how they impact a firm's business operations. It provides grounding in the scope of sanctions regulations that have been put in place by a number of international bodies and individual countries, as well as an understanding of the expectations that sanctions regulators have in the scope and sophistication of one's sanctions compliance program. Additionally, the course will focus on discussing both policy and operational topics that need to be considered when building or evaluating one's sanctions compliance program.

Amanda Northey - Asia Pacific Regional Head of the Financial Markets sector for Pole Star's PurpleTRAC maritime regulatory technology

Amanda is a global maritime industry expert with over 20 years' experience in commercial, operational and project management roles, servicing the commercial marine sector, governments & regulatory bodies, oil & gas, and the financial sector. Amanda will discuss with the group the Trade challenges related to vessel tracking.

Training details

Date: Saturday, 3rd August 2019

Time: 9:00 am to 3:30 pm (20 min break at 10:30 am and one hour lunch from 2:00 p.m.)

Reporting: 8:30 am to 8:55am

Location: 18th Floor, IFIC Head Office, 61 Purana Paltan, Dhaka

Opening Speech by Mr Abu Hena Mohd. Razee Hazan - Head of BFIU

The training session covers:

- Anti Bribery Corruption and Third Party Risk Overview
- Sanctions Overview – what are sanctions, why are they imposed, how can we classify the various types of sanctions?
- Global Regulatory Framework – an overview of the bodies and nations which impose sanctions
- Sanctions Screening – which lists to use, which data to screen, managing match review, use of fuzzy matching and other inexact match technologies
- Managing Investigations – the importance of good due diligence and relationship management, and the process of resolving alerts (including how to manage legitimate sanctions matches)
- Sanctions Violations and Enforcement – the scope of sanctions beyond published lists, how violations are assessed, and regulatory expectations as reflected in enforcement actions
- Recent Regulatory & Other Sanctions-Related Changes
- Trade challenges - Dual Used Goods
- Various challenges around the Vessel Tracking
- Evaluation